

#### Commonwealth of Kentucky

James C. Codell, III
Secretary of Transportation

#### Transportation Cabinet

Frankfort, Kentucky 40622

Paul E. Patton Governor

Clifford C. Linkes, P.E. Deputy Secretary

**MEMO** 

Date:

June 17, 2003

To:

**Bob Lewis** 

Division of Construction

From:

Rick Stansel

**Division of Contract Procurement** 

Re:

Clark County - PCN 030334

IM-NH 64-5 (63) 89 The Allen Company, Inc.

Accompanying this memo is a copy of the Detailed Plan/Subcontract Requests, Sub-Contract Agreements and Certificates of Insurance for Central Bridge as a subcontractor for The Allen Company. We have reviewed and approved Javier Steel as a second tier DBE subcontractor. Upon approval from you on the subcontract agreement with Central Bridge and The Allen Company we will proceed to approve for the issuance of a work order. Please email Anita with your recommendations.



#### TC 63-35 Rev. 03/00

### KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS DIVISION OF CONSTRUCTION SUBCONTRACT REQUEST

PROJECT CODE NO:	030334	<del>_</del>			
SUBCONTRACT NO:	3	_			
ENCUMBRANCE NO:	Н0303341	_			
TO:			Dexter Newman		
EDOM.			Project Engineer  Central Rock		
FROM:	Prime Contractor		Central Rock		
SUBJECT:	Clark County		IN		64-5 (63) 89 lect Number
	County			110	cet number
I hereby request to subcontr Central		t project to of	:	Lexir	igton, KY
Subcontractor Employeer Id	lentification Numbers: Fe	deral	31-1514861	KY	179788
The amount to be subcontra		\$	1,027,751.62	or	5.06 % % of the
(original contract, minus Spec	iality Items, as totaled belov	v),or a subc	ontract amount of	\$	20,310,627.98
Speciality Items (if applicab	le) from the Contract Tota	ւl: \$			
I have previously subcontract	cted as follows:				
NAME OF SUBO	CONTRACTOR		AMOUNT		PERCENT
Carpenter Trucking			2,042,356.30		10.06 %
N H Stone			601,350.73		2.96 %
			•		
The total amount to be subco (original contract) or (subco		uest is \$	3,671,458.65	or	18.08 % % of the
This subcontractor has been the Certification in all lower (Federal Aid Contracts only	tier covered transactions				
The proposed subcontractor	is on the Department's list		ed contractors and 3533699	l has c	
coverage: Policy Number	Insurance Co.	LI/	which expires	s on	with March 1, 2004
	f Insurance Company		which expires	, 011	Date
		, ji			
Drima (	Contractor's Signature				Date
1 mile C	· · · · · · · · · · · · · · · · · · ·				

#### KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS DIVISION OF CONSTRUCTION SUBCONTRACT REQUEST

TC 63-35 Rev. 06/03

Page	of 2	
Additio	onal form	

PROJECT CODE NO:

30334

TINITT

- (\*) When description is limited by such as "Laying Only", "Erection Only", "Manipulation Only, etc. it should be so indicated and explained.
- (\*\*) When the quantity is not the entire amount of (Contract) or (Sub-Contract) estimate, limitations by stations must be shown or definitely designated in some suitable, positive manner.

Unit prices used on this request should list the prices as reflected in the contract and are for Departmental use only and are not to be considered the exact prices agreed to by the contractors.

#### The Items to be subcontracted are as follows:

				UNIT	
ITEM NO.	DESCRIPTION	Unit	Quantity	PRICE	AMOUNT
1	CONCRETE-CLASS A	CU YD	\$545.60	273.00	148,948.80
1	CONCRETE-CLASS A	CU YD	\$128.90	273.00	35,189.70
2	CONCRETE-CLASS AA	CU YD	\$523.00	310.00	162,130.00
3	STEEL REINFORCEMENT	LB	68,193.00	0.60	40,915.80
3	STEEL REINFORCEMENT	LB	\$12,677.00	0.60	7,606.20
4	STEEL REINF-EPOXY COATED	LB	133,438.00	0.66	88,069.08
5	PRECAST PC I BEAM TYPE 5	LIN FT	1,528.00	176.00	268,928.00
6	TEST PILES	LIN FT	65.00	35.00	2,275.00
7	PILES-STEEL HP14X89	LIN FT	420.00	35.00	14,700.00
8	PILE POINTS-14 INCH	EACH	16.00	110.00	1,760.00
9	MASONRY COATING	SQ YD	1,235.00	8.00	9,880.00
10	STRUCTURE EXCAV-SOLID ROCK	CU YD	151.00	45.00	6,795.00
10	STRUCTURE EXCAV-SOLID ROCK	CU YD	45.00	45.00	2,025.00
11	CRUSHED AGGREGATE SLOPE PRO	TON	179.00	20.00	3,580.00
12	STRUCTURE GRANULAR BACKFILL	CU YD	192.00	33.00	6,336.00
13	FOUNDATION PREPARATION (STA. 5	LP SUM	1.00	7,200.00	7,200.00
14	FOUNDATION PREPARATION (STA. 1	LP SUM	1.00	1,560.00	1,560.00
15	FOUNDATION PREPARATION (STA. 1	LP SUM	1.00	1,560.00	1,560.00
16	FOUNDATION PREPARATION (STA. 1	LP SUM	1.00	1,560.00	1,560.00
17	FOUNDATION PREPARATION (STA. 4	LP SUM	1.00	2,280.00	2,280.00
18	REMOVE CONCRETE MASONRY	CU YD	108.10	90.00	9,729.00
19	ELECTRICAL CONDUIT	LP SUM	1.00	7,000.00	7,000.00
20	ARMORED EDGE FOR CONCRETE	LIN FT	96.30	36.00	3,466.80
53	REMOVE EXISTING STRUCTURE	LP SUM	1.00	150,000.00	150,000.00
164	MOBILIZATION**	LP SUM	1.00	29,504.83	29,504.83
165	DEMOBILIZATION**	LP SUM	1.00	14,752.41	14,752.41

**TOTAL** \$1,027,751.62 (RECOMMENDED)

Date Project Engineer

# KENTUCKY TRANSPORTATION CABINET

TC 63-35DBE Rev. 06/11/02

> DBE Detailed Plan/SUBCONTRACT REQUEST DEPARTMENT OF HIGHWAYS DIVISION OF CONSTRUCTION

PROJECT CODE NO: DBE Firm/Subcontract #:	03-0334			,	The second	Page 1 of 4	4
TO:	Rick Stansel			$\stackrel{-}{\geqslant}$	11.03	1010841	t_
FROM:	Executive Director Division of Contract Procurement The Allen Company	act Procurement		3			
	Prime Contractor						
SUBJECT:	Clark	IM-NH 64-5 (63) 89					
County I hereby request to utilize for DBE participation a p Central Bridge Co., LLC w/second tier DBE-Javier Steel	I hereby request to utilize for DBE participation a portion of the subject project to: Central Bridge Co., LLC w/second tier DBE-Javier Steel of Lexington, KY	n of the subject project to: of Lexington, KY		Project Number			
DBE Employer Identification Numbers: The amount to be subcontracted by this request is		Federal 31-1514861 KY DBE \$81,307.68 V or	0.39%	Contract \$1.027.751.62	1.027.751.62	or 4.98% of the	the
(original contract) or a subcontract amount of		\$	0,636,242.58			\$20,636,242.58	
I have previously requested	I have previously requested approval for subcontracts or	agreements with other DBE as follows:	s follows:	ပိ	Contract "Worth"		
Name of DBE firm	OBE firm	DBE Amount	DBE %		Amount	Contract %	
Carpenter Trucking Company	ny	\$371,930.70	1.80%		2,042,356.30	%06.6	
N.H. Stone Company		\$601,350.73	2.91%		601,350.73	2.91%	
* \							
Totals based on original contract Amounts	ntract Amounts	\$1,054,589.11	5.11%		\$3,671,458.65	17.79%	

This section applicable if DBE firm is also a Subcontractor of work on Project:

This subcontractor has been furnished a copy of Appendix B of 49 CFR Part 29 and advised to include the Certification in all lower tier covered transactions and in all solicitations for lower tier transactions (Federal Aid Contracts only). The proposed subcontractor is on the Department's list of qualified contractors and has current insurance General Liability# CPP-07311524 (Javier Steel) coverage: Policy Number

with March 7, 2004 which expires on Cinciunati Insurance Company Name of Insurance Compa

Date Recommended by Office of Minority Affairs

Recommended by Office of Minority Affairs Signature

# KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS DIVISION OF CONSTRUCTION

DBE Detailed Plan/SUBCONTRACT REQUEST

Rev. 04/16/02

TC 63-35 DBE

Page 2 of 4

Project Code Number (PCN) 03-0334

The Allen Company

Prime

DBE Firm: Co., LLC w/second tier DE

(\*) When description is limited by such as "Laying Only" "Erection Only" "Manipulation Only" etc. it should be so indicated and explained.

(\*\*) When the quantity is not the entire amount of (Contract) or (Sub-Contract) estimate, limitations by stations must be shown or definitely designated in some suitable, positive manner.

Unit prices using Contract Unit Price should be for Bid Unit Price for work to be performed by Sub Contractor. DBE Unit price should be for the agreed upon price for item or portion of item of contract work.

# DBE Participation Non-Pay Estimates Work Items

	Comments
	Dollar Amount based on DBE Price
	DBE Unit Price
	Unit
	Total Contract Quantity
, A	Description

## **DBE Detailed Plan/SUBCONTRACT REQUEST** KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS DIVISION OF CONSTRUCTION

Rev. 06/11/02

TC 63-35 DBE

Page 3 of 4

Project Code Number (PCN): 03-0334

Central Bridge Co., LLC w/second

DBE Firm

(\*) When description is limited by such as "Laying Only" "Erection Only" "Manipulation Only" etc. it should be so indicated and explained.

(\*\*) When the quantity is not the entire amount of (Contract) or (Sub-Contract) estimate, limitations by stations must be shown or definitely designated in some suitable, positive manner. Unit prices using Contract "worth" Unit Price should be for Bid Unit Price for work to be performed by Sub Contractor. If partial work item ie "laying only" then use agreed to price

for Contract "worth" Unit Price. DBE Unit price should be for the agreed upon price for item or portion of item of contract work.

ı		The Items	The Items to be subcontracted are as follows:							
	Estimate					Continot	Dollow A mount			7
	Sub	Proposal	Description	Unit	Contract	"Worth" Unit	Donar Amount	DRE Onantify	DBE Quantity DBE Unit Price	Dollar Amount
	Section Seq. #	Item No.			Quantity	Price	Contract Price	Cuantity Cuantity	Day Cant I IICe	Price
, '	A001	1	CONCRETE-CLASS A	CY	545.6000	273.0000	\$148,948.80			
	C002	2	CONCRETE-CLASS AA	CY	523.0000	310.0000	\$162,130.00	523.0000	\$24.7801	\$12,960
*	A002	3	STEEL REINFORCEMENT	LB	68193.0000	0.6000	\$40,915.80	68,193.0000	\$0.22	\$15,002.46
-	C004	4	STEEL REINF-EPOXY COATED	LB	133438.0000	0.6600	\$88,069.08	133,438.0000	\$0.22	\$29,356,36
-	C005	5.	PRECAST PC I BEAM TYPE 5	LF	1528.0000	176.0000	\$268,928.00	1,528.0000	\$13.87	\$21,199.93
_	200e	ای	TEST PILES	LF	65.0000	35.0000	\$2,275.00			
_	C007	7	PILES-STEEL HP14X89	H	420.0000	35.0000	\$14,700.00			
•	C008	&	PILE POINTS-14 INCH	EA	16.0000	110.0000	\$1,760.00			
-	C009	6	MASONRY COATING	SY	1235.0000	8.0000	\$9,880.00			
_	C010	10	STRUCTURE EXCAV-SOLID ROCK	CX	196.0000	45.0000	\$8,820.00			
_	C011	11	CRUSHED AGGREGATE SLOPE PROT	Z.I.	179.0000	20.0000	\$3,580.00			
•	C012	12	STRUCTURE GRANULAR BACKFILL	CY	192.0000	33.0000	\$6,336.00			
_	C013	13	FOUNDATION PREPARATION	LS	1.0000	7200.0000	\$7,200.00			
•	A004	14	FOUNDATION PREPARATION	LS	1.0000	1560.0000	\$1,560.00			
1	A005	15	FOUNDATION PREPARATION	LS	1.0000	1560.0000	\$1,560.00			
•	A006	16	FOUNDATION PREPARATION	TS	1.0000	1560.0000	\$1,560.00			
,	A007	17	FOUNDATION PREPARATION	LS	1,0000	2280.0000	\$2,280,00			
1	A008	18	REMOVE CONCRETE MASONRY	CX	108.1000	90.000	\$9,729.00			
_	C014	19	ELECTRICAL CONDUIT	LS	1.0000	7000.0000	\$7,000.00			
_	C015	20	ARMORED EDGE FOR CONCRETE	LF	96.3000	36.0000	\$3,466.80			
•	A041	53	REMOVE EXISTING STRUCTURE	LS	1.0000	150000.0000	\$150,000.00			
, — <b>1</b>	K001	164*	MOBILIZATION(PARTIAL)	LS	1.0000	29504.8300	\$29,504.83		-	
. ,	K002	165*	DEMOBILIZATION(PARTIAL)	LS	1.0000	14752.4100	\$14,752.41			
_	Comments:	12	*	** Combined	ined			ı		
				78,518,74	h2					
*	Combin	* Combined total		2,788.0	46					
				9120218	89	Page Total	\$984,955.72		交	\$78,518.74

## KENTUCKY TRANSPORTATION CABINET DEPARTMENT OF HIGHWAYS DIVISION OF CONSTRUCTION

DBE Detailed Plan/SUBCONTRACT REQUEST CONT'D

TC 63-35 DBE Rev. 06/11/02

Page 4 of 4

Project Code Number (PCN): 03-0334

Central Bridge Co., LLC w/second DBE Firm

(\*) When description is limited by such as "Laying Only" "Erection Only" "Manipulation Only" etc. it should be so indicated and explained.

(\*\*) When the quantity is not the entire amount of (Contract) or (Sub-Contract) estimate, limitations by stations must be shown or definitely designated in some suitable, positive manner. Unit prices using Contract "worth" Unit Price should be for Bid Unit Price for work to be performed by Sub Contractor. If partial work item ie "laying only" then use agreed to price for Contract "worth" Unit Price. DBE Unit price should be for the agreed upon price for item or portion of item of contract work.

	The Items	The Items to be subcontracted are as follows:			-				
Estimate Sub Section Section	Proposal Item No.	Description	Unit	Contract Quantity	Contract "Worth" Unit Price	Dollar Amount based on Contract Price	DBE Quantity	DBE Quantify   DBE Unit Price   based on DBE   Price	Dollar Amount based on DBE Price
C001 *C003	3	CONCRETE-CLASS A STEEL REINFORCEMENT	Z Z	128.9000 12677.0000	273.0000	\$35,189.70	12,677.0000	\$0.22	\$2,788.94
							1 [		
							1		
	١								
	*						•		
							:		
							1		
							1		
							•		
							1		
							•		
							t .		
		-	-				•		
							3		
							,		
							3		
		•							

Comments:

CERTIFICATE HOLDER

CANCELLATION

The Allen Company, Inc. Attn: Jamie Green P.O. Box 537 Winchester, KY 40392-0537

SHOULD ANY OF THE ABOVE DESCRIBED POLICIES BE CANCELLED BEFORE THE EXPIRATION DATE THEREOF, THE ISSUING INSURER WILL ENDEAVOR TO MAIL 14 DAYS WRITTEN NOTICE TO THE CERTIFICATE HOLDER NAMED TO THE LEFT, BUT FAILURE TO MAIL SUCH NOTICE SHALL IMPOSE NO OBLIGATION OR LIABILITY OF ANY KIND UPON THE INSURER, ITS AGENTS OR REPRESENTATIVES. AUTHORIZED REPRESENTATIVE

Willie Kirchbaum/WILLIE

Wille Kirch

#### SUBCONTRACT AGREEMENT

# 2307-2

THIS AGREEMENT, nucle and entered into by and between: Central Bridge Company, LLC

with principal office located at: 1256 Old Frankfort Pike Lexington, KY 40544

hereinafter called Subcontractor, and The Allen Company, Inc.

a Delaware Corporation with principal office located at P.O. Box 537, Winchester, KY 40392-0537

hereinafter called Contractor.

The Contract date is 05-25-03 and the project description is as follows:

#### I-64 Reconstruction, Clark Co. IM-NFI 64-5 (63) 89

I. WITNESSETH: That Subcontractor and Contractor, in consideration of the items, covenants and conditions herein contained, hereby agree as follows: Furnish all materials, labor, equipment and other items necessary to perform the following:

**LITEM** 

DESCRIPTION

OTY

UNIT

UNIT PRICE

TOTAL SAG

As shown in attachment "A"

\$1,027,751.62

II. Subcontract Price: Subcontractor shall be paid by Contractor, for the satisfactory performance and completion of Subcontractor's work described herein and all of the duties, obligations and responsibilities of the prices set forth in Paragraph I of this Subcontract (hereinafter called the "Subcontract Price"), subject to additions and deductions as provided herein. It is specifically understood and agreed by Subcontractor that the quantities of items set forth in Paragraph I are estimated quantities only and that the curnings of Subcontractor under this Agreement shall be determined by the quantities of work that are actually allowed and paid to Contractor by Owner.

The Subcontract Price includes all federal, state, county, municipal and other taxes and assessments imposed by law and based upon labor, services, materials, equipment or other items acquired, performed, furnished or used for or in connection with the Subcontractor's work, including but not limited to sales, use and personal property taxes payable by or levied against the Owner, General Contractor or the Subcontractor. Where the law requires any such taxes or assessments to be stated or charged separately, the total price of all items included in the Subcontractor's work, plus the amount of such taxes shall not exceed the Subcontract Price.

#### III. Subcontract Documents:

The Subcontract Documents consist of:

- 1. This Agreement
- 2. The Prime Contract, consisting of the Agreement between the Owner and Contractor and the other Contract Documents enumerated therein, including but not limited to the Conditions of the Contract (General, Special, Supplementary and Other Conditions), Drawings, Plans, Specifications, Addenda and Modifications, whether issued before or after the execution of this Agreement and other Contract Documents, if any, listed in the Owner-Contractor Agreement;
- 3. Any other documents specifically incorporated into this Subcontract by reference herein; and
- 4. Modifications to this Subcontract issued after the execution hereof, all of which are hereby referred to and made a part of this Subcontract as though copied at length herein (and all of which documents are hereinafter collectively referred to as the "Subcontract Documents").

This Subcontract shall be performed in strict accordance with the Subcontract Documents and to the satisfaction of Contractor and Owner. The Subcontractor represents and agrees that it has carefully examined and understands the Subcontract Documents, copies of which have been and remain available for inspection and copying by Subcontractor, that it has investigated the nature, locality and site of the Project

and the conditions and difficulties under which it is to be performed, and that it enters into this Agreement on the basis of its own examination, investigation and evaluation of all such matters and not in reliance upon any opinions or representations of Contractor, Owner or any of their respective officers, agents, servants or employees.

#### IV. Payment:

- A Progress Payments and Retainage: Subcontractor shall be paid by Contractor at the rate of the unit price listed in Paragraph I for all work performed and materials and supplies furnished, less retainage of 0% within seven (7) working days after the Contractor receives payment from the Owner, payment to Subcontractor being expressly conditioned upon Contractor's prior receipt of payment from Owner. It is specifically understood and agreed by Subcontractor that no payment by Contractor to Subcontractor shall be construed as acceptance of any portion of Subcontractor's work, a waiver of any defect or deficiency in Subcontractor's performance, or relieve Subcontractor of any of its obligations arising out of this Agreement. Should Contractor at any time determine that payment has been made to Subcontractor, or on Subcontractor's behalf, in excess of the amount then due for work under this Agreement, then Subcontractor shall promptly repay such excess to Contractor within three (3) days of demand for repayment.
- B. <u>Final Payment</u>: Final payment shall be made by Contractor to Subcontractor within thirty (30) days after completion and acceptance of the Subcontractor's work by the Contractor and the Owner, provided that (1) Contractor shall have first received final payment from the Owner; (2) Subcontractor shall have furnished, if requested, evidence satisfactory to Contractor that there are no claims, obligations or liens outstanding or unsatisfied for labor, services, materials, equipment, taxes or other items performed, furnished or incurred for or in connection with the Subcontractor's work; and (3) Subcontractor shall have executed and delivered to Contractor, in a form satisfactory to Contractor, a Release of Lien and an Affidavit of Payment running to and in favor of Contractor and the Owner.
- C. Payments Withheld: Subcontractor shall meet all of its obligations and liabilities pertaining to this Subcontract on a timely basis and shall furnish at any time requested, evidence satisfactory to Contractor that there are no claims outstanding or unsatisfied for labor, services, materials, equipment, taxes or other items performed, furnished or incurred for or in connection with the Subcontractor's work. In the event that Subcontractor does not comply with the above, Contractor may retain from monies owed to Subcontractor sufficient sums to indemnify Contractor and Owner against claims or obligations related to this Subcontract. Contractor may disburse monies owing to the Subcontractor as Contractor may determine necessary, including by joint check arrangements or payments directly to persons or entities claiming by or through Subcontractor, to satisfy the above claims against or obligations of Subcontractor. Should Contractor advance money to Subcontractor or pay on his behalf any bills, accounts, labor or other items, such advances, disbursements and payments may be deducted from amounts due or to become due to Subcontractor or the retained percentage, at the option of the Contractor. Should such advances and payments be in excess of the total amount finally due Subcontractor, then Subcontractor shall promptly repay such excess to Contractor on demand.
- V. <u>Date of Commencement and Completion</u>: Time is of the essence in the Prime Contract and is hereby declared to be of essence in the Subcontract. Subcontractor shall prosecute the Subcontract work with all possible diligence and all possible speed in order to insure completion of the work at the earliest possible date and shall fully cooperate with Contractor in scheduling and performing the Subcontract Work to avoid conflict, delay in or interference with the work of the Contractor or others upon the Project.

Subcontractor shall begin its work within five (5) days of notice to proceed by Contractor. In the event that Subcontractor does not perform its work promptly and the completion of the Project is thereby delayed, then Subcontractor shall assume and pay any damages, including but not limited to any liquidated damages provided by the Prime Contract, that may be incurred by or assessed against the Contractor.

The work of this Subcontract shall be completed not later than as directed by Contractor, and subject to the adjustment of this Subcontract Time as provided in the Subcontract Documents.

No extension of time will be valid without the Contractor's prior written consent after written claim for adjustment made by the Subcontractor.

Contractor shall not be liable to Subcontractor for any costs or damages due to delays, escalation, accelerations, non-performance, interferences with performance, suspensions or changes in the performance or sequence of the Subcontractor's work. Should this Agreement, in whole or in part, be interfered with or delayed, or be suspended in commencement, prosecution or completion, for reasons beyond the Subcontractor's control, without its fault or negligence, Subcontractor shall be entitled to, and shall be fully compensated by, an extension of time in which to complete its work; but, only if Subcontractor shall have first notified Contractor in writing of the cause of delay within two (2) days of the occurrence of the event, and on further condition that Contractor shall have received a similar extension of time from the Owner.

- VI. <u>Interruption of Work</u>: Subcontractor shall not employ any men or means of construction which may cause strikes, work stoppages, work interruption or hindrance or any other disturbances, of any nature whatsoever, by Contractor's employees or the employees of any other contractor or subcontractor on the Project, with respect to any work related to this Subcontract Agreement and the Project.
- VII. Subcontractor Default: Should the Subcontractor fail, in the opinion of the Contractor, to prosecute the work with sufficient force and speed, or default or neglect to carry out the work in accordance with this Subcontract, or cause by any act or omission, the stoppage or delay of or interference with or damage to the work of Contractor or of any other contractors or subcontractors on the Project, including

but not limited to any violation of the provisions of Paragraph VI above, or fail in the performance of the terms and provisions of this Agreement or the Subcontract Documents, or should the Owner or Contractor determine that the Subcontractor's work or any portion thereof is not being performed in accordance with the Subcontract Documents, or should there be filed by or against Subcontractor, a Petition in Bankruptcy, or should the Subcontractor become insolvent or be adjudicated bankrupt, or go into liquidation or dissolution, either voluntarily or involuntarily, or make a general assignment for the benefit of creditors, or otherwise acknowledge insolvency, then in any of such events, each of which shall constitute a default hereunder by Subcontractor, and Subcontractor fails within three (3) working days after receipt of written notice from the Contractor to commence and continue correction of such default or neglect with diligence and promptness, the Contractor may at its option, and in addition to any other rights and remedies provided by this Agreement or by law, elect to proceed in any one or more of the following manners:

- 1. Contractor may employ and use on said work, or any portion thereof, such number of workmen, laborers, supervisors, teams, tools, machinery, equipment and/or other subcontractors, at such wages, prices and rentals as Contractor may deem necessary and expedient to insure prompt completion of the work and Project, and it shall charge all of same to Subcontractor;
- 2. Contractor may re-let the work, either in whole or in part, to such other persons or entities as it may desire, at such prices as it may deem proper, and shall charge the costs thereof to Subcontractor;
- 3. Contractor may declare the rights of the Subcontractor under this Agreement to be terminated and, in such event, Subcontractor shall only be paid for the actual work done by it to the date of termination, less the amount of any damages, claims, obligations or liabilities incurred by Contractor or Owner by virtue of Subcontractor's actions;
- 4. Contractor may terminate the employment of Subcontractor for all or any portion of the Subcontractor's work, enter upon the premises and take possession of, for the purpose of completing the Subcontractor's work, all materials, equipment, tools, appliances and other items thereon, all of which the Subcontractor hereby transfers, assigns and sets over to Contractor for such purpose, and to employ any person or persons to complete the Subcontractor's work and provide all of the labor, services, materials, equipment and other items required therefor. In any such event, Subcontractor shall remain bound, and all other terms of this Agreement shall remain in force, to the extent of the work or areas which have not been so terminated. In case of such termination of Subcontractor, it shall not be entitled to receive any further payments under this Agreement until the Subcontractor's work shall be fully completed to the satisfaction of Contractor and the Owner and shall have been accepted by them, at which time, if the unpaid balance of the amount to be paid under this Subcontract shall exceed the costs and expenses incurred by Contractor in completing the Subcontractor's work, such excess shall be paid to the Subcontractor; but in the event that such costs and expenses shall exceed the unpaid balance of the Subcontract Price, then Subcontractor shall pay the difference to Contractor upon demand.

For purposes of this Paragraph VII, the costs and expenses recoverable by Contractor from Subcontractor for Subcontractor default shall include, in addition to the costs of completing the Subcontractor's work to the satisfaction of Contractor and the Owner and of performing and furnishing all labor, services, materials, equipment and other items required therefor, but also all losses, damages, claims, obligations, liabilities, costs and expenses, including attorneys' fees, incurred or suffered by Contractor by reason of or resulting from the Subcontractor's default.

VIII. Changes: Subcontractor may, at any time during the progress of Subcontractor's work, be ordered in writing by Contractor, without invalidating this Subcontract, to make changes in the work of this Subcontract consisting of additions, deletions, deviations or other revisions, including those required by modifications to the Prime Contract issued subsequent to the execution of this Agreement, the Subcontract Price and the Subcontract Time being adjusted accordingly. Subcontractor shall, prior to the commencement of such changed or revised work, submit promptly to Contractor written copies of a claim for adjustment to the Subcontract Price and Subcontract Time for such revised work in a manner and time consistent with the requirements of the Subcontract Documents. No change orders or modifications of this Subcontract, whether for extras or otherwise, shall be valid unless authorized by the Contractor in writing after receipt of Subcontractor's claim for adjustment. Failure of the Subcontractor to make such a timely claim for adjustment shall bind the Subcontractor to the same consequences as those to which the Contractor is bound to the Owner.

In the event that Contractor and Subcontractor should not be able to agree as to the amount to be allowed as an adjustment to the Subcontract Price and Subcontract Time for any changes made pursuant to this Paragraph VIII, it shall, nevertheless, be the duty of the Subcontractor to proceed immediately with such change(s) upon written notice from Contractor. In any such event, the determination of the appropriate adjustment to the Subcontract Price and Subcontract Time for such revised work shall be resolved thereafter by mutual agreement or in accordance with Paragraph XIII, pertaining to dispute resolution.

IX. Insurance: Subcontractor binds itself to procure and maintain at Subcontractor's expense, Workers' Compensation Insurance, and Comprehensive General Liability Insurance, Property Damage Insurance and Automobile Liability Insurance, with a financially sound insurance company with an A.M. best rating of A-: VII or better or companies acceptable to Contractor on all activities and work performed under this Agreement and to provide Contractor with Certificate of Insurance establishing compliance with the aforementioned insurance requirements. All insurance policies, and Certificates of Insurance required by this paragraph shall contain a provision that coverages afforded under the applicable policies cannot be canceled or allowed to expire without a minimum of ten (10) days prior written notice to Contractor. The Allen Company. Inc. shall be named as additional insured on each of these policies except for Workers' Compensation. The minimum limits of insurance coverage required by this paragraph shall be as provided by Prime Contract, or in the absence of any such provision therein, in the following minimum limits of coverage:

#### Type of Insurance

#### **Minimum Policy Limits**

Workers' Compensation Insurance

Coverage A

Coverage B (Employers Liability)

As required by statute \$100,000 each accident \$500,000 disease policy limit \$100,000 disease each employee

Comprehensive General Liability Insurance (Occurrence Form, including coverage for subcontractors, if any) General Policy Products/Comp. Operations

Personal Injury

\$1,000,000 occurrence \$1,000,000 aggregate \$1,000,000 person \$1,000,000 occurrence

Property Damage Insurance

\$1,000,000 occurrence \$1,000,000 aggregate

Automobile Liability Insurance (Including Owned, Non-Owned

and Hired)

\$1,000,000 combined single limit

Commercial Umbrella

\$1,000,000 occurrence \$1,000,000 aggregate

- X. Performance and Payment Bonds: Subcontractor is to furnish 100% Payment and Performance Bond to the Contractor.
- XI. Indemnification: Subcontractor shall indemnify and hold harmless the Owner and the Architects, and The Allen Company, Inc., General Contractor, and their agents and employees, from and against all claims, damages, losses and expenses, including attorneys' fees, arising out of or resulting from the performance of the work, provided that any such claim, damage, loss or expense (a) is attributable to bodily injury, sickness, disease or death, or to injury to or destruction to tangible property (other than the work itself) including the loss of use resulting therefrom, and (b) is caused in whole or in part by any negligent act or omission of the Subcontractor, anyone directly or indirectly employed by any of them or anyone for whose acts any of them may be liable, regardless of whether or not it is caused in part by a party indemnified hereunder.

In any and all claims against the Owner or the Architect or General Contractor, or any of their agents or employees, by any employee of this Subcontractor, anyone directly or indirectly employed by him or anyone for whose acts he may be liable, this indemnification obligation shall not be limited in any way by any limitation on the amount or type of damages, compensation or benefits payable by for this Subcontractor under Workers' Compensation Acts, disability benefit acts or other employee benefit acts.

Subcontractor shall, at its own expense, conform to the basic safety policy of the Contractor, and comply with all specific safety requirements promulgated by any governmental authority, including without limitation, the requirements of the Occupational Safety and Health Act of 1970 and the Construction Safety Act of 1969 and all standards and regulations which have been or shall be promulgated by the parties or agencies which administer such acts.

Subcontractor shall have and exercise full responsibility for compliance hereunder by its agents, employees, materialmen and Subcontractor's general, and in particular with respect to its portion of the work on this Project, shall itself comply with said requirements, standards and regulations, and require and be directly responsible for compliance therewith on the part of its said agents, employees, materialmen and subcontractors; and shall directly receive, respond to defend and be responsible for all citations, fines or penalties which may be incurred by reason of its failure on the part of its agents, employees, materialmen or subcontractors to so comply.

- XII. Warranty: Subcontractor warrants to the Contractor and Owner that all materials and equipment furnished under this Subcontract will be of good quality and new unless otherwise required or permitted by the Subcontract Documents, that the work of this Subcontract will be free from defects not inherent in the quality required or permitted, and that Subcontractor's warranty and work will conform in all respects with the requirements of the Prime Contract and the Subcontract Documents. Work not conforming to these requirements, including substitutions not properly approved and authorized, may be considered defective. This warranty shall be in addition to and not in limitation of any other warranty or remedy provided by law or by the Subcontract Documents.
- Dispute Resolution: Any claim, controversy or dispute between the Contractor and Subcontractor arising out of or related to this XIII. Subcontract, or a breach thereof, and which claim, controversy or dispute is not resolved by mutual agreement between the parties, shall unless otherwise required by the Prime Contract or applicable law, be submitted to a judicial court of competent jurisdiction within Clark County, Kentucky. The Subcontractor further covenants and agrees that no dispute shall interfere with the progress of the Subcontractor's

work, and the Subcontractor agrees to proceed with the Subcontract Work as required in spite of any claim, controversy or dispute it may have with Contractor, the Owner or other parties.

XIV. <u>Laws. Permits. Fees and Notices</u>. The Subcontractor shall give all notices required by and comply with all laws, ordinances, rules, regulations and orders of any public authorities applicable to the performance of the Subcontract Work. The Subcontractor shall secure and pay for all permits, fees, licenses and inspections necessary for proper execution and completion of the Subcontractor's work as required by the Subcontract Documents.

The Subcontractor shall abide by all laws, rules, regulations and orders of any state and federal authorities applicable to the Environmental Protection Act and/or water and air pollution requirements.

Subcontractor further covenants and agrees to pay its own payrolls, taxes and all other costs and expenses associated with the work required under this Agreement; furnish Contractor with copies of all weekly payrolls and other documentation showing compliance with applicable laws and regulations, if required; furnish Contractor with copies of MSDS (Material Safety Data Sheets) for all materials used on the Project and a copy of Subcontractor's written hazard communication program; and acknowledges and agrees that any payment received by Subcontractor shall be held in trust for the purpose of paying its subcontractors, laborers, materialmen, suppliers and any others claiming by or through Subcontractor and who may be entitled to assert a lien or other claim against the Project.

Subcontractor has familiarized himself with the safety program of the Contractor and agrees to adopt said program, insofar as this Project is concerned, conducting all the activities, furnishing all the reports and cooperating to the fullest extent with the Contractor's safety representative for the Project. Subcontractor agrees to bear the expense of conducting the safety program for his own personnel. Should the Subcontractor and/or should his operations be characterized by frequent injuries to workmen, the Contractor may elect within ten (10) days written notice, cancel this contract, whence Subcontractor will immediately remove his men, materials and equipment from the Project.

- XV. Removal of Equipment: Subcontractor shall not, without the prior written consent of Contractor, remove or permit to be removed from the Project, any equipment, machinery, tools, materials, supplies or other items that have been purchased for or placed on the Project for use in connection therewith until the Subcontract Work has been completed.
- XVI. Rental Equipment: If Subcontractor makes use of Contractor's equipment, Subcontractor shall pay current AED rental rates, or the rates commonly charged in the area where the work is to be performed in the event that the AED rental rates are not provided, unless otherwise agreed to by the parties in writing.
  - Subcontractor shall furnish to Contractor a list of all equipment not owned by Subcontractor placed on the job by Subcontractor, together with the name of the owner of said equipment and the terms of the lease, if any.
- XVII. <u>Cleanup</u>: Subcontractor shall keep the Project site and surrounding area free from accumulation of waste materials or rubbish caused by operations performed under this Subcontract and shall, upon completion of its work, leave the Project site in a clean and orderly condition.
- XVIII. Association Dues: subcontractor is to pay their pro-rata share of the KAHC dues
- XIX. Equal Employment Opportunity and Affirmative Action: Subcontractor shall not discriminate against any employee or applicant for employment because of race, creed, color, sex, age, religion, national origin, physical or mental handicap or because he or she is a disabled veteran or veteran of the Vietnam era. Subcontractor agrees to take affirmative action to insure that qualified applicants are employed, and that employees are treated during employment without regard to race, creed, color, sex, age, religion, national origin, physical or mental handicap or status as a disabled veteran or veteran of the Vietnam era. To the extent applicable, Subcontractor shall comply with all provisions of Executive Order No. 11246, dated September 24, 1976; the Vietnam Era Veterans Readjustment Assistance Act of 1974, the Rehabilitation Act of 1973, and all amendments thereto, and all rules, regulations, orders, instructions, designations and other directives promulgated pursuant thereto, the terms of all of which are incorporated herein by reference. Violation of this provision shall be considered a material breach of this Subcontract and shall entitle Contractor to exercise any of the options set forth in Paragraph VII hereof, including termination or suspension of this agreement in whole or in part.
- XX. Assignment: Subcontractor shall not sublet or assign any portion of this Subcontract, nor any monies due or to become due, or Subcontractor's earnings and compensation hereunder, without the prior written consent of Contractor. Any such assignment or subletting without prior written consent of Contractor shall be void and of no effect.
- XXI. Notice: All notices, demands and other communications provided for herein shall, unless otherwise provided, be sufficient if in writing and if delivered personally to the other party, or mailed by United States Mail, postage prepaid, to the respective addresses of Contractor and Subcontractor as set forth herein, or such other address as either may have designated from time to time. Contractor may exercise any right given to it immediately after mailing the letter containing such notice; however, this does not preclude a Contractor from giving notice in any other legal matter.
- XXII. Governing Law: This agreement shall be governed by the laws of the Commonwealth of Kentucky. Any action by the Subcontractor against the Contractor as a result of this contract shall be brought in Clark County, Kentucky, Circuit Court.

- XXIII. Severability and Waiver: The partial or complete invalidity of any one or more provisions of this agreement and the Subcontract Documents shall not affect the validity or continuing force and effect of any other provision or the application of such provisions to persons and circumstances other than those as to which it is determined invalid or unenforceable. The failure of Contractor to insist, in any one or more instances, upon the performance of any of the terms, covenants or conditions of this agreement or to exercise any rights herein, shall not be construed a waiver or relinquishment of such term, covenant, condition or right.
- XXIV. <u>Uniform Construction of Agreement</u>: Contractor and Subcontractor mutually agree that the interpretation, construction and enforcement of this agreement shall be uniform in all respects and shall not be construed more or less favorably for either Contractor or Subcontractor.
- XXV. Entire Agreement: This Subcontract represents the entire and integrated agreement between the Contractor and Subcontractor and supersedes all prior negotiations, representations or agreements, either written or oral, and shall not be altered, modified or amended in any manner whatsoever, unless the same shall be in writing and signed by the parties hereto.

6

XXVI. Miscellaneous  1. Attachment "A" will become a binding part of 2. All excavated materials will be left for use by 3. Second Party is to second-tier subcontract \$8 4. Second Party does not include Traffic Control	First Party. 0,000.00 of their work to a certified DBE subcontractor.
IN WITNESS WHEREOF, the parties have executed this day of, 2003.	Subcontract, or have caused it to be executed by their duly authorized officers, the
, 2005.	
	The Allen Company, Inc. CONTRACTOR
	BY: W. P. Fearing
	TITLE: SECRETARY/TREASURER
STATE OF KENTUCKY  COUNTY OF COAC  SCT.	
The foregoing Subcontract was this 28 day of W. K. Doum, J. in his capacity as according to the contract was the capacity as a contract was the capacity an	1,2003, subscribed, sworn to and acknowledged before me by
My Commission expires: 5-25-2005	
	Darahw. Conkuright NOTARY PUBLIC
	Central Bridge Company, LLC SUBCONTRACTOR
	RY SACKBANIA

BY: July July TITLE:

STATE OF KY

SCT.

COUNTY OF Clark

The foregoing Subcontract was this 27 day of May 2003, subscribed, sworn to and acknowledged before me by Joseph K. Buona, in his capacity as President of Contral Budge Co, 220

My Commission expires: 5-25-2005

Sarah W. Conkerright NOTARY PUBLIC

#### Attachment A

Contractor: The Allen Company

Job#

2307

Sub-Contractor: Central Bridge Co Llc

Total Contract \$

1,027,751.62

1256 Old Frankfort Pike Lexington, KY 40544

Project:

I-64 Reconstruction Clark County, CLARK CO. IM-NH 64-5 (63) 89

ltem #	Item Description	Quantity	Unit	Price		Amount
1	CONCRETE-CLASS A	674.5000	CY	273.0000	\$	184,138.50
2	CONCRETE-CLASS AA	523.0000	CY	310.0000	\$	162,130.00
3	STEEL REINFORCEMENT	80870.0000	LB	0.6000	\$	48,522.00
4	STEEL REINF-EPOXY COATED	133438.0000	LB	0.6600	\$	88,069.08
5	PRECAST PC I BEAM TYPE 5	1528.0000	LF	176.0000	\$	268,928.00
6	TEST PILES	65.0000	LF	35.0000	\$	2,275.00
7	PILES-STEEL HP14X89	420.0000	LF	35.0000	\$	14,700.00
8	PILE POINTS-14 INCH	16.0000	EA	110.0000	\$	1,760.00°
9	MASONRY COATING	1235.0000	SY	8.0000	\$	9,880.00
10	STRUCTURE EXCAV-SOLID ROCK	196.0000	CY	45.0000	\$	8,820.00
11	CRUSHED AGGREGATE SLOPE PROT	179.0000	TN	20.0000	\$	3,580.00
12	STRUCTURE GRANULAR BACKFILL	192.0000	CY	33.0000	. *	6,336.00
13	FOUNDATION PREPARATION	1.0000	LS	7200.0000	\$	7,200.00
14	FOUNDATION PREPARATION	1.0000	LS	1560.0000	\$	1,560.00
15	FOUNDATION PREPARATION	1.0000	LS	1560.0000	\$	1,560.00
16	FOUNDATION PREPARATION	1.0000	LS	1560.0000	\$	1,560.00
17	FOUNDATION PREPARATION	1.0000	LS	2280.0000	7	2,280.00
18	REMOVE CONCRETE MASONRY	108.1000	CY	90.0000		9,729.00
19	ELECTRICAL CONDUIT	1.0000	LS	7000.0000		7,000.00
20	ARMORED EDGE FOR CONCRETE	96.3000	LF	36.0000		3,466.80
53	REMOVE EXISTING STRUCTURE	1.0000	LS	150000.0000		150,000.00
164	MOBILIZATION	1.0000	LS	29504.8300		29,504.83
165	DEMOBILIZATION	1.0000	LS	14752.4100	\$	14,752.41
<u>[</u>						
	· · · · · · · · · · · · · · · · · · ·					
					-	
				•		

#### HOD 9/96

### Kentucky Transportation Cabinet Department of Highways Division of Construction

#### SUBCONTRACTOR'S CERTIFICATION RECEIPT OF BID PROPOSAL

hereby certify that <u>Central Bricke</u>	Co, LLC of	Lexinston, KY, h	25
a copy of the bid proposal in the Bid Letting	5-33-03 Letting Date	for 03-0334 Project Code No. (PCN)	
ClarK	IMNH	64-5 (63) 89 Project Number	
and will shide by the conditions and sauth to		•	

and will abide by the conditions set forth in said bid proposal.

Rappesendita Spring Spain Subconfracting Company LLC

Hereby recognized as an official representative of this Company, with full authority to sign, I understand and am fully aware of the conditions set forth and herein by this statement.

#### Printed From RE-VIEW software 6/19/2003

#### **Kentucky Transportation Cabinet**

Division of Contract Procurement Report of Current Certificate Status

**CERTIFICATE:** 

2003 A 02292-006

2. RENEWAL

Approved:

4/22/2003

Expires: 12/31/2003

Lapse Date (Expires + 120):

4/29/2004

CENTRAL BRIDGE COMPANY LLC PO BOX 4277 1256 MANCHESTER STREET LEXINGTON KY 40504

#### **Approved Work Items**

A GRADE AND DRAIN

E1 BRIDGES NOT MORE THAN 70 FT. CLEAR SPAN

E2 BRIDGES NOT MORE THAN 100 FT. CLEAR SPAN

E3 BRIDGES 100 FT. CLEAR SPAN AND OVER